2634 gru)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Mitsuaki OSHIMA et al.

Serial No. 09/677,420

Filed October 5, 2000

COMMUNICATION SYSTEM

Confirmation No. 6143

Docket No. 2000\_1390

Group Art Unit 2634

Examiner A. Le

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Patentees request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

| [X] | each U.S. Patent and U.S. Patent application publication;                     |
|-----|---|
| 0   | each reference previously cited in the international application PCT/; and/or |
| O   | each reference previously cited in prior parent application Serial No.        |

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

| 1b.  | [] This   | Information Disclosure Statement is submitted   |  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|--|
|  | Action of   | e events of above paragraph 1a and prior to the mailing date of a final Office or a Notice of Allowance or an action which otherwise closes prosecution in the ion, and thus:   |  |  |  |  |  |  |
|  | (1) [] th   | ne certification of paragraph 2 below is provided, or   |  |  |  |  |  |  |
|  | (2) [] th   | ne fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.   |  |  |  |  |  |  |
| 1c.  | [] This   | Information Disclosure Statement is submitted:  |  |  |  |  |  |  |
| after the mailing date of a final Office Action or Notice of Allowance or action otherwise closes prosecution in the application, and prior to payment of the issu thus: |   |   |  |  |  |  |  |  |
|  | the certification of paragraph 2 below is provided, and |   |  |  |  |  |  |  |
|  | the fee   | of \$180.00 specified in 37 CFR 1.17(p) is enclosed.  |  |  |  |  |  |  |
| 2.   | It is here  | eby certified   |  |  |  |  |  |  |
|  | a. []   | that each item of information contained in this Information Disclosure<br>Statement was first cited in any communication from a foreign patent office in a<br>counterpart foreign application not more than three months prior to the filing of<br>the Statement, or  |  |  |  |  |  |  |
| ,  | b. []   | that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement. |  |  |  |  |  |  |
| 3. []  |   | eration of the following list of additional information (including any copending or ned U.S. application, prior uses and/or sales, etc.) is requested.  |  |  |  |  |  |  |

a full or partial English language translation submitted herewith,

4.

is made to:

For each non-English language reference listed on the attached form PTO-1449, reference

- b. [] a foreign patent office search report (in the English language) submitted herewith,
- c. [] the concise explanation contained in the specification of the present application at page,
- d. [X] the concise explanation set forth in the attached English language abstract,
- e. [X] the concise explanation set forth below or on a separate sheet attached to the reference:

Japanese Patent No. 3-69295 corresponds to U.S. Patent No. 5,128,758 Japanese Patent No. 2-260726 corresponds to U.S. Patent No. 5,038,402

- 5. [X] A foreign patent office search report citing one or more of the references is enclosed.
- 6. Statement Under 37 CFR 1.704(d)

20

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Mitsuaki OSHIMA et al.

Registration No. 41,471

Attorney for Patentees

JRF/fs Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 March 23, 2005

| OIPE -   |  |   |              |                                     |               |               |                        |           |  |
|--|--|---|--------------|-------------------------------------|---------------|---------------|------------------------|-----------|--|
| Sheet 1 of 3 INFORMATION DISCLOSURE STATEMENT                            |  |   |              |                                     |               |               |                        |           |  |
| FORM PTO 1449 ( m  |  | MAR   | 2 3 2005     | ATTY DOCKET NO.<br>2000_1390        | SERIAL 09/677 |               |                        |           |  |
| PATE   | ENT AND  | TRADEMARK FFICI                                 | Ē , <b>₹</b> | APPLICANT<br>Mitsuaki OSHIMA et al. |               |               |                        |           |  |
| (  | OSE SEVE   | ral sheets if necessary) d to PTO: March 23, 20 |              | FILING DATE<br>October 5, 2000      |               | GROUP<br>2634 |                        |           |  |
| U.S. PATENT DOCUMENTS  |  |   |              |                                     |               |               |                        |           |  |
| *EXAMINER<br>INITIAL   |  | DOCUMENT<br>NUMBER                              | DATE         | NAME                                | CLASS         | SUBCLASS      | FILING DAT<br>APPROPRI |           |  |
|  | AA   | 3,824,548                                       | 7-16-74      | Sullivan et al.                     |               |               |                        |           |  |
|  | AB   | 3,959,726                                       | 5-25-76      | Hinoshita et al.                    |               |               |                        |           |  |
|  | AC   | 4,303,939                                       | 12-1-81      | Stephens et al.                     |               |               |                        |           |  |
|  | AD   | 4,303,941                                       | 12-1-81      | Marti et al.                        |               |               |                        |           |  |
|  | AE   | 4,309,726                                       | 1-5-82       | Tanaka et al.                       |               |               |                        |           |  |
|  | AF   | 4,535,352                                       | 8-85         | Haskell                             |               |               | <u>.</u>               |           |  |
|  | AG   | 4,564,858                                       | 1-14-86      | Resch                               |               | <u> </u>      | _                      |           |  |
|  | АН   | 4,581,639                                       | 4-8-86       | Judge                               |               |               |                        |           |  |
|  | Al   | 4,769,819                                       | 9-6-88       | Matsutani et al.                    |               |               | <u>,</u>               |           |  |
|  | AJ   | 4,794,447                                       | 12-27-88     | Tsinberg                            |               |               | -                      |           |  |
| <u>-</u> <u></u> -   | AK   | 4,817,192                                       | 3-28-89      | Phillips et al.                     |               |               |                        |           |  |
|  | AL   | 5,029,003                                       | 7-91         | Jonnalagadda                        |               |               |                        |           |  |
|  | АМ   | 5,134,464                                       | 7-28-92      | Basile et al.                       |               |               |                        |           |  |
|  | AN   | 5,400,084                                       | 3-21-95      | Scarpa                              |               |               |                        |           |  |
|  | AO   |   |              |                                     |               |               |                        |           |  |
|  |  |   | FORE         | IGN PATENT DOCUMENTS                |               |               |                        |           |  |
| <del></del>  |  | DOCUMENT<br>NUMBER                              | DATE         | COUNTRY                             | CLASS         | SUBCLASS      | TRANSLAT<br>YES        | ION<br>NO |  |
|  | AP   |   |              | <del> </del>                        |               |               |                        |           |  |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) |  |   |              |                                     |               |               |                        |           |  |
|  | AQ   |   |              |                                     |               |               |                        |           |  |
| _  | AR   |   |              |                                     |               |               |                        |           |  |
| EXAMINER   |  |   |              | DATE CONSIDER                       | RED           |               |                        |           |  |
|  | A CONTRACTOR OF THE CONTRACTOR |   |              |                                     |               |               |                        |           |  |

| Sheet 2 of 3 INFORMATION DISCLOSURE STATEMENT  |           |   |                                  |                                      |                  |               |                      |            |
|--|-----------|---|----------------------------------|--------------------------------------|------------------|---------------|----------------------|------------|
| FORM PTO 1449 ( m  |           | TMENT OF COMMERC                                | 2 3 2005                         | ATTY DOCKET NO.<br>2000_1390         | SERIAL 09/677    |               |                      |            |
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICATION |           |   | APPLICANT Mitsuaki OSHIMA et al. |                                      |                  |               |                      |            |
| (  | Use sever | ral sheets if necessary) d to PTO: March 23, 20 |                                  | FILING DATE<br>October 5, 2000       |                  | GROUP<br>2634 |                      |            |
|  |           |   | U.S                              | S. PATENT DOCUMENTS                  |                  |               |                      |            |
| *EXAMINER<br>INITIAL   |           | DOCUMENT<br>NUMBER                              | DATE                             | NAME                                 | CLASS            | SUBCLASS      | FILING DA<br>APPROPR |            |
|  | AA        | 5,181,112                                       | 1-19-93                          | Citta et al.                         |                  |               |                      |            |
| -  | АВ        | 5,377,051                                       | 12-27-94                         | Lane et al.                          |                  |               |                      |            |
|  | AC        | 5,576,902                                       | 11-19-96                         | Lane et al.                          |                  |               |                      |            |
|  | AD        | 5,218,622                                       | 6-8-93                           | Fazel et al.                         | . (47            |               | ,                    | -          |
|  | AE        | 5,561,468                                       | 10-1-96                          | Bryan et al.                         |                  |               |                      |            |
|  | AF        | 5,802,241                                       | 9-1-98                           | Oshima                               |                  |               |                      |            |
|  | AG        | 5,007,088                                       | 4-9-91                           | Ooi et al.                           |                  |               |                      |            |
|  | АН        | 5,170,413                                       | 12-8-92                          | Hess et al.                          |                  |               |                      |            |
|  | Al        |   |                                  |                                      |                  |               |                      |            |
|  |           |   | FORE                             | IGN PATENT DOCUMENTS                 |                  | <del>-</del>  |                      |            |
|  |           | DOCUMENT<br>NUMBER                              | DATE                             | COUNTRY                              | CLASS            | SUBCLASS      | TRANSLA<br>YES       | TION<br>NO |
|  | AJ        | 3-69295   | 3-25-91                          | Japan                                |                  |               |                      | х          |
|  | AK        | 2-260726  | 10-23-90                         | Japan                                |                  |               |                      | х          |
|  | AL        | 58-161427                                       | 9-26-83                          | Japan                                |                  |               | abstract             |            |
|  | AM        | 57-39629  | 3-4-82                           | Japan                                |                  |               | abstract             |            |
|  | AN        | 58-161547                                       | 9-26-83                          | Japan                                |                  |               | abstract             |            |
|  |           | OTHER   | DOCUMENT(S) (I                   | ncluding Author, Title, Date, Pertin | ent Pages, Etc.) |               |                      |            |
|  | AO        |   |                                  |                                      |                  |               |                      |            |
|  | AP        |   |                                  |                                      |                  |               |                      |            |
|  | AQ        |   |                                  | Al er a management                   |                  |               |                      |            |
| EXAMINER   | ]]        |   |                                  | DATE CONSIDER                        | RED              |               |                      |            |

| Sheet 3 of 3  |          |   |                                  |   |        |     |   |                |             |
|---|----------|---|----------------------------------|---|--------|-----|---|----------------|-------------|
| FORM PTO 1449 (m  |          | MAR   | 2 3 2005                         | ATTY DOCKET NO. 2000_1390 SERIAL NO. 09/677,420 |        |     |   |                |             |
| D.S. DEPARTMENT OF CONVIENCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICATION (Use several sheets if necessary) |          |   | APPLICANT Mitsuaki OSHIMA et al. |   |        |     |   |                |             |
| '   | 038 3010 | ral sheets if necessary)<br>d to PTO: March 23, 200 |                                  | FILING DATE<br>October 5, 2000                  |        |     | GROUP<br>2634                                 |                |             |
|   |          | ·   |                                  | S. PATENT DOCUMENTS                             |        |     | <u>l                                     </u> |                | <del></del> |
| *EXAMINER<br>INITIAL  |          | DOCUMENT<br>NUMBER                                  | DATE                             | NAME CLASS SUBCLASS                             |        |     | FILING DATE IF<br>APPROPRIATE                 |                |             |
|   | AA       | 5,128,758   | 7-7-92                           | Azadegan et al.                                 |        |     |   |                |             |
|   | AB       | 5,038,402   | 8-6-91                           | Robbins   |        |     |   |                |             |
|   | AĊ       | 5,638,112   | 6-10-97                          | Bestler et al.                                  |        |     |   |                |             |
|   | AD       | 5,063,445   | 11-5-91                          | Nishizawa et al.                                |        |     |   |                |             |
|   | AE       | 5,819,000   | 10-6-98                          | Oshima  |        |     |   | ,              |             |
|   | AF       | 5,999,569   | 12-7-99                          | Oshima  |        |     |   | -270           |             |
|   | AG       | 5,892,879   | 4-6-99                           | Oshima  |        |     |   |                |             |
|   | АН       | 6,049,651   | 4-11-00                          | Oshima  |        |     |   |                |             |
|   | Al       | 6,256,357   | 7-3-01                           | Oshima  |        |     |   |                |             |
|   |          |   | FORE                             | IGN PATENT DOCUMENTS                            |        |     |   |                |             |
|   |          | DOCUMENT<br>NUMBER                                  | DATE                             | COUNTRY   | CL     | ASS | SUBCLASS                                      | TRANSLA<br>YES | TION<br>NO  |
|   | AJ       | 63-180222   | 7-25-88                          | Japan   |        |     |   | abstract       |             |
|   | AK       | 2-94814   | 4-5-90                           | Japan   |        |     |   | abstract       |             |
|   | AL       | 61-70861  | 4-11-86                          | Japan   |        |     |   | abstract       |             |
|   | AM       | 2-218279  | 8-30-90                          | Japan   |        |     |   | abstract       |             |
|   | AN       | 2,095,435   | 5-10-92                          | Canada  |        |     |   | Х              |             |
|   | AO       | 91/20137  | 12-26-91                         | wo  |        |     |   | Х              |             |
|   | AP       | 92/22162  | 12-10-92                         | wo  |        | _   |   | Х              |             |
| OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)  |          |   |                                  |   |        |     |   |                |             |
|   | AQ       |   |                                  |   |        |     |   |                |             |
| EXAMINER  |          |   |                                  | DATE CONSIDERE                                  | <br>≣D |     |   |                |             |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copthis form with next communication to applicant.